

# **Head of the Department of B.com (Bank Management)**

## **FINANCIAL MARKET AND SERVICES**

**Sub. Code:BM 410S**  
**QUESTION BANK**

**SUBMITTED BY**

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### **SECTION-A**

**Answer the following TWO marks questions**

1. What is capital market?
2. What is a gilt edged security?
3. What is near money?
4. What are the instruments used in the money market?
5. Explain the meaning of Financial Services?
6. Who is Lessee?
7. What is venture capital?
8. Explain factoring?
9. What is mutual fund?
10. Expand CARE?
11. What is primary market?
12. What is SEBI?
13. Define call money?
14. What is commercial paper?
15. What is flat rate of interest?
16. What is operating lease?
17. Define merchant banking?
18. State any two merits of underwriting?
19. What is mutual fund?

20. Define credit rating. Give two examples?
21. What is meant by financial services?
22. Write about merchant banking?
23. What do you mean by leasing?
24. Give short note on instalment credit?
25. What is venture capital?
26. State any two objectives of UTI?
27. What do you understand by credit rating?
28. Give a short note on forfeiting?
29. What are the functions of 'Financial Services'?
30. What are the functions of 'Merchant Banking'?
31. What do you mean by 'Leasing'?
32. What do you understand by Housing Financial Corporation?
33. What is a Mutual Fund?
34. What are the factors in Venture Capital?
35. Define the terms 'Factoring'?
36. What is 'Forfeiting'?
37. Who are merchant bankers?
38. List out any four functions of merchant banking?
39. Define lease?
40. Define venture capital?
41. What is factoring?
42. What is mutual fund?
43. What are the institutions involved in housing finance in the country?
44. What is credit rating?
45. State any two functions of merchant banking?
46. Who is a lessee?
47. What is house finance?
48. Define the term venture capital?
49. Write a short note on UTI?
50. What do you mean by credit rating?

51. List the major players of factoring?
52. Briefly explain the term 'Financial Services'?
53. What is 'Merchant Banking'?
54. What is the meaning of Lease Financing?
55. What do you understand by Housing Financial Corporation?
56. What do you mean by Mutual Fund?
57. What are the Objectives of UTI?
58. Define the term 'Factoring'?
59. Briefly explain the term 'Credit Rating'?
60. What do you mean by Mergers and acquisitions?
61. What is merchant banking?
62. Explain SEBI?
63. Define "Leasing"?
64. Write a note on financial lease?
65. Give a definition for mutual fund?
66. What is factoring?
67. What do you mean by financial services?
68. Define merchant banking?
69. What do you mean by leveraged lease?
70. What do you mean by factoring?
71. Who are the players in factoring arrangement?
72. List any two advantages of housing finance?
73. What is mutual fund?
74. Explain the difference between merchant banking and commercial banking/
75. Write a note on SEBI?
76. What is financial lease?
77. Define Hire Purchase?
78. What do you mean by mutual fund?
79. Define venture capital?
80. What is factoring?
81. Give a meaning for forfeiting?

82. Mention any four credit rating agencies in India?
83. What do you mean by financial services?
84. Give a short note on Merchant Banker?
85. What is credit card?
86. Write about instalment credit?
87. What is meant by venture capital?
88. State any two objectives of Unit Trust of India?
89. What is factoring?
90. Write a short note on credit rating?
91. What is money market?
92. What is meant by acceptance house?
93. Write a note on discount and finance house?
94. What is Flit-edged market?
95. What is meant by secondary market?
96. Who is called Jobber?
97. Write a note on OTCEI?
98. What is financial system?
99. Write short note on financial services?
100. What is meant by book building?
101. Write a note merchant banking?
102. What is meaning of the concept credit rating?
103. What is call money market?

## **SECTION-B**

### **Answer the following FIVE marks questions**

1. State the important role of financial systems in the economic development of a country?
2. What are the advantages of new issue market?
3. State the deference between Money market and Capital market?

4. What are the characteristics of well developed money market?
5. What are the components of financial services?
6. Explain Hire purchase finance?
7. What are the types of factoring?
8. Difference between Factoring and Bills discounting?
9. What are the basis of credit rating?
10. Explain the defects of credit rating in India?
11. What are the salient features of secondary market?
12. Distinguish between money market and capital market?
13. What is money market? What are its objectives?
14. Write short notes on certificate of deposits?
15. What are the characteristics of financial services?
16. Distinguish between leasing and hire purchasing?
17. What are the benefits of factoring?
18. Define Forfeiting? Explain its features?
19. Briefly explain the recent trends in Indian Financial Services?
20. What are the advantages of credit rating?
21. What is meant by financial services?
22. Explain the conditions given by SEBI for Merchant Bankers?
23. State the advantages of housing finance?
24. Explain the benefits of leasing?
25. Mention the different types of mutual funds from the points of view of investor?
26. What are the problems of Unit Trust of India?
27. Explain the various steps in factoring?
28. Write about the various aspects to be considered for credit rating?
29. Write about the different types of merger?
30. What are the defects of venture capital in India?
31. What is meant by 'Banking and Financial Services'?
32. What are the disadvantages of 'Leasing'?
33. What are the differences between lease financing and hire purchase?
34. What are the basics of Mutual Fund?

35. What are the roles in Venture Capital?
36. Explain the term 'Factoring and Forfeiting'?
37. What is 'Credit Rating' and briefly explain its needs?
38. Describe the role of merchant bankers in corporate sector?
39. What are the sources of funds for lease finance?
40. Explain the various types of mutual fund from the point of view of investors?
41. State the regulations of SEBI on the mutual fund?
42. What are the types of factoring?
43. Explain the features of factoring?
44. List out the different forms of business enterprises which offer financial services?
45. What are the functions of financial services?
46. What are the advantages of leasing?
47. Write short notes on i) Hire purchase system and ii) Installment credit system?
48. What are the features of venture capital?
49. What are the benefits of credit rating?
50. How is factoring different from forfeiting?
51. What is meant by 'Banking and Financial Services'?
52. What are the functions of Merchant Banking?
53. What are the advantages of 'Leasing'?
54. What are the differences between lease financing and hire purchase?
55. Write the concept and introduction of Mutual Fund and its objectives?
56. What are the types and roles in venture Capital?
57. Enumerate the concept of 'Forfeiting'. Briefly explain five parties of transactions in forfeiting?
58. What are the Advantages and disadvantages of Factoring?
59. What are the scopes for merchant bankers?
60. Describe the guidelines of SEBI with regard to merchant bankers?
61. What are the difference between hire purchases and installment credit?
62. Distinguish between financial lease and operation lease?
63. Explain the objectives of mutual fund?
64. What are the process involved in forfeiting?

65. Explain the features of factoring services?
66. What are the advantages of "Credit rating"?
67. Enumerate the functions of financial services?
68. Explain in short the SEBI guidelines with respect to merchant bankers?
69. Explain the leasing process?
70. State the rights exercised by National Housing Bank?
71. Bring out the characteristics of factoring?
72. State the RBI guidelines as regards factoring?
73. What are the benefits of merger?
74. Explain the functions of merchant banking?
75. State the SEBI guidelines for merchant banking?
76. What are the distinctions between financial lease and operating lease?
77. Explain the steps involved in leasing transactions?
78. Explain the role of investors before selecting a mutual fund?
79. Explain the differences between hire purchase and installment credit?
80. Explain the features of factoring services?
81. Write about the responsibilities of merchant banker regarding rights issue?
82. Explain the components of financial system?
83. What are the advantages of leasing?
84. What are the characteristics of Hire Purchase System?
85. Explain the various types of factoring?
86. What are the types of credit rating? Explain?
87. Explain the need and importance of capital market?
88. Explain the advantages of primary market?
89. Describe the steps taken by the government for promoting capital market in India?
90. Explain the present position of stock markets in India?
91. What are the objectives securities contract (Regulation) Act, 1956?
92. Explain the power of control bank (SEBI) over stock exchanges?
93. What are the main objects of SEBI?
94. Explain features of SEBI?

95. Write short notes on
- a) Hire purchase finance.
  - b) Asset liability management?
96. Write short notes on
- a) Portable finance
  - b) Interest and credit swap?

## **SECTION-C**

### **Answer the following TEN marks questions**

1. Write the structure of financial institutions briefly explain?
2. Discuss the defects of Indian money market and the step taken towards improvements?
3. Differentiate Hire Purchase and leasing?
4. What are the functions of Merchant Banking?
5. Explain briefly the types of credit rating?
6. Define stock exchange. Explain its functions?
7. Explain the features and advantages of Treasury Bills?
8. Explain the recent trends in the financial services of India?
9. Bring out the financial services offered by various financial institutions?
10. Explain the various types of leasing?
11. Discuss the role of Credit Rating Information Services of India Ltd., (CRISIL)?
12. Explain the Scope of Financial Services?
13. What are the differences between Buying and Leasing?
14. Explain the term 'Factoring' explain its two basic types?
15. What are the various functions of a merchant bankers?
16. Discuss the different types of leasing?
17. Discuss the salient features of credit rating methodology of CRISIL?
18. Discuss the advantages of mutual funds?
19. Describe the code of conduct to be observed by Indian merchant bankers?



20. Give an account of various schemes of mutual funds?
21. Briefly explain the different types of factoring?
22. Explain the characteristics and Features of Financial Services?
23. Define 'Lease' and Explain the various types of Lease?
24. What are the differences between Factoring & Forfeiting?
25. Explain the functions of merchant bankers?
26. Explain the advantages and disadvantages of leasing?
27. Explain the different types of factoring?
28. Briefly explain the growth of financial services in India?
29. Explain in detail about the functions towards funding of housing finance institutions?
30. Explain the role of merchant banker in management of new issues of a company?
31. Define leasing finance and explain the pros and cons of leasing services?
32. Describe the various types of factoring?
33. Explain the meaning of credit rating and it's functions?
34. Explain the various financial services offered by the financial institutions?
35. Bring out the important role played by Housing finance institutions for expanding housing finance?
36. Briefly write about Credit Rating Information Services of India Ltd. (CRISIL)?
37. Discuss about listing of securities?
38. Discuss SEBI guidelines for new issues?
39. Discuss SEBI guidelines for secondary market?
40. What is NSE? Discuss the features of NSE?
41. Explain different financial services in detail?
42. Explain the importance of financial services?